

# Risk Factors for Influenza A(H1N1)pdm09 among Students, Beijing, China

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To identify risk factors associated with influenza A(H1N1)pdm09 among students in Beijing, China, we conducted a case-control study. Participants (304 case-patients and 608 controls, age range 6–19 years) were interviewed by using a standardized questionnaire. We found that in addition to vaccination, nonpharmaceutical interventions appeared to be protective.

Influenza A(H1N1)pdm09 virus first emerged in Mexico and southern California, USA, in early April 2009 and rapidly spread worldwide (1). The mode of transmission of this novel virus was similar to that of other influenza viruses. Notably, the virus disproportionately affected children and young adults (2). Therefore, further research was required to understand etiologic factors associated with spread of influenza A(H1N1)pdm09 among school-age children to limit transmission within schools and in the community. We conducted a case-control study to identify risk factors associated with influenza A(H1N1)pdm09 among students in Beijing, China.

## The Study

Beijing is one of the largest cities in China and has 18 districts and a population of >20 million persons. Although there is considerable variation in district size and a greater population density in urban areas, health care is accessible for residents in all districts. During the pandemic period, the Notifiable Disease Surveillance System (NDSS) was established in Beijing. Fifty-five collaborating laboratories covering all hospitals were authorized to conduct confirmation testing for influenza A(H1N1)pdm09 virus (3). All confirmed cases were reported through the NDSS.

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Case-patients were students for whom diagnosis was confirmed during October 1, 2009–January 31, 2010. Stratified sampling was used to recruit case-patients through the NDSS. We randomly selected 3 urban and 3 rural districts from the 18 districts and listed all case-patients  $\leq 18$  years of age. We aimed to randomly select 50 patients from each district.

Controls were matched with case-patients at a ratio of 2:1 by sex and age ( $\pm 1$  year) and were recruited from the same school and grade but from different parallel classes than case-patients. Students who reported having influenza-like symptoms since September 2009 were excluded.

The survey was conducted as a face-to-face interview by trained investigators from the Centers for Disease Control and Prevention in Beijing by using a standardized questionnaire. This interview had a 100% response rate and no data were missing. All variables were self-reported.

Data entry and statistical analysis were conducted by using EpiData software version 3.1 ([www.epidata.dk/download.php](http://www.epidata.dk/download.php)) and SPSS version 16.0 (IBM, Armonk, NY, USA). Bivariate and multivariate conditional logistic regression analyses were used to determine risk factors associated with infection. All variables with  $p < 0.05$  in bivariate analysis were included in multivariate analysis. Collinearity was evaluated for all variables in the final model. Backward conditional logistic regression was conducted by removing variables with  $p > 0.10$ , and statistical significance was defined as  $p < 0.05$  (online Technical Appendix, [wwwnc.cdc.gov/EID/article/19-2/12-0628-Techapp1.pdf](http://wwwnc.cdc.gov/EID/article/19-2/12-0628-Techapp1.pdf)).

A total of 304 case-patients and 608 controls were recruited from either primary or middle schools. Age range was 6–18 years for case-patients and 6–19 years for controls (median age 13 years for both groups).

Bivariate analysis identified factors associated with having influenza A(H1N1)pdm09. These factors were vaccination history, eye rubbing, handwashing immediately after sneezing, handwashing after lessons in communal classrooms, sleep time per day, participation in outdoor activities after class, population density of classrooms, classroom ventilation, mode of transportation to and from school, and participation in clustered social activities after school (Table 1).

Multivariate analysis showed that in addition to vaccination, a series of environmental and behavioral factors were associated with reducing the risk for influenza A(H1N1)pdm09. These factors included provision of classroom space  $\geq 1.6$  m<sup>2</sup>/student, participation in outdoor activities after school, decreased interval of classroom ventilation, immediate handwashing after sneezing, having more sleep time ( $\geq 7$  h/day), and use of open modes of travel (walking, bicycle, and motorcycle) (Table 2).

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## Conclusions

We found several variables that determined whether students would have influenza A(H1N1)pdm09. These factors were vaccination, classroom space, outdoor activities, classroom ventilation, handwashing, sleep time, and modes of travel.

Vaccination against influenza A(H1N1)pdm09 was more common among controls than case-patients,

suggesting its potential value of protection. However, the vaccination rate is low in Beijing, China. Limited knowledge and misconceptions regarding vaccination safety were contributing risk factors (4–6).

Because transmission modes for this virus appeared to be similar to those for seasonal influenza viruses, involving close, unprotected contact with respiratory droplets (7), we found that environmental issues appeared to be protective.

Table 1. Bivariate analysis of potential factors associated with influenza A(H1N1)pdm09 infection among students  $\leq 18$  years, Beijing, China\*

| Variable  | No. (%) case-patients,<br>n = 304 | No. (%) controls,<br>n = 608 | p value  | OR (95%CI)       |
|---|-----------------------------------|------------------------------|----------|------------------|
| Vaccination against influenza A(H1N1)pdm09                        |                                   |                              |          |                  |
| No  | 276 (90.8)                        | 264 (43.4)                   | Referent |                  |
| Yes   | 28 (9.2)                          | 344 (56.6)                   | <0.001   | 0.08 (0.05–0.12) |
| Vaccination with pneumococcal vaccine                             |                                   |                              |          |                  |
| No  | 279 (91.8)                        | 542 (89.1)                   | Referent |                  |
| Yes   | 25 (8.2)                          | 66 (10.9)                    | 0.193    | 0.72 (0.43–1.18) |
| Use of traditional Chinese medicine                               |                                   |                              |          |                  |
| No  | 103 (33.9)                        | 175 (28.8)                   | Referent |                  |
| Yes   | 201 (66.1)                        | 433 (71.2)                   | 0.068    | 0.73 (0.51–1.02) |
| Eye rubbing   |                                   |                              |          |                  |
| No  | 163 (53.6)                        | 395 (65.0)                   | Referent |                  |
| Yes   | 141 (46.4)                        | 213 (35.0)                   | 0.001    | 1.68 (1.25–2.26) |
| Handwashing immediately after sneezing                            |                                   |                              |          |                  |
| No  | 151 (49.7)                        | 205 (33.7)                   | Referent |                  |
| Yes   | 153 (50.3)                        | 403 (66.3)                   | <0.001   | 0.48 (0.36–0.65) |
| Use of soap during handwashing                                    |                                   |                              |          |                  |
| No  | 37 (12.2)                         | 63 (10.4)                    | Referent |                  |
| Yes   | 267 (87.8)                        | 545 (89.6)                   | 0.402    | 0.83 (0.53–1.29) |
| Handwashing after lessons in communal classrooms                  |                                   |                              |          |                  |
| No  | 176 (57.9)                        | 285 (46.9)                   | Referent |                  |
| Yes   | 128 (42.1)                        | 323 (53.1)                   | 0.002    | 0.63 (0.48–0.84) |
| Handwashing after participation in outdoor sports activities      |                                   |                              |          |                  |
| No  | 46 (15.1)                         | 69 (11.3)                    | Referent |                  |
| Yes   | 258 (84.9)                        | 539 (88.7)                   | 0.088    | 0.69 (0.45–1.06) |
| Duration of handwashing, s  |                                   |                              |          |                  |
| <20   | 176 (57.9)                        | 347 (57.1)                   | Referent |                  |
| $\geq 20$   | 128 (42.1)                        | 261 (42.9)                   | 0.800    | 0.96 (0.71–1.30) |
| Sleep time, h/day   |                                   |                              |          |                  |
| <7  | 99 (32.6)                         | 162 (26.6)                   | Referent |                  |
| $\geq 7$  | 205 (67.4)                        | 446 (73.4)                   | 0.030    | 0.67 (0.47–0.96) |
| Sharing of tableware with classmates                              |                                   |                              |          |                  |
| No  | 263 (86.5)                        | 534 (87.8)                   | Referent |                  |
| Yes   | 41 (13.5)                         | 74 (12.2)                    | 0.556    | 1.14 (0.74–1.75) |
| Classroom space/student, m <sup>2</sup>                           |                                   |                              |          |                  |
| <1.6  | 223 (73.4)                        | 412 (67.8)                   | Referent |                  |
| $\geq 1.6$  | 81 (26.6)                         | 196 (32.2)                   | <0.001   | 0.17 (0.07–0.41) |
| Participation in outdoor activities after class                   |                                   |                              |          |                  |
| No  | 232 (76.3)                        | 411 (67.6)                   | Referent |                  |
| Yes   | 72 (23.7)                         | 197 (32.4)                   | 0.003    | 0.58 (0.40–0.83) |
| Frequency of classroom ventilation                                |                                   |                              |          |                  |
| >1 $\times$ /h  | 109 (35.9)                        | 160 (26.3)                   | Referent |                  |
| 1 $\times$ /h   | 195 (64.1)                        | 448 (73.9)                   | 0.002    | 0.61 (0.44–0.83) |
| Having meals in small restaurants near school                     |                                   |                              |          |                  |
| No  | 232 (76.3)                        | 460 (75.7)                   | Referent |                  |
| Yes   | 72 (23.7)                         | 148 (24.3)                   | 0.808    | 0.96 (0.67–1.37) |
| Modes of transportation to and from school                        |                                   |                              |          |                  |
| Closed (taxi, public transportation, school bus, car)             | 188 (61.8)                        | 325 (53.5)                   | Referent |                  |
| Open (walking, bicycle, motorcycle)                               | 116 (38.2)                        | 283 (46.5)                   | 0.009    | 0.66 (0.48–0.90) |
| Participation in clustered social activities after school closure |                                   |                              |          |                  |
| No  | 266 (87.5)                        | 559 (91.9)                   | Referent |                  |
| Yes   | 38 (12.5)                         | 49 (8.1)                     | 0.023    | 1.76 (1.08–2.86) |

\*Bivariate conditional logistic regression was used to generate p values. OR, odds ratio.

When the interval of classroom ventilation exceeded 1 h, air renewal was determined to be inadequate, increasing potential risk for infection. These findings are consistent with those of other studies, which reported that influenza can spread in a confined space with insufficient air flow and that clustering of students within classrooms or during after-school activities can facilitate transmission of infectious diseases (8,9).

Social distancing might be another protective nonpharmaceutical measure. When available classroom space per student was <1.6 m<sup>2</sup>, there was a greater chance that students having influenza A(H1N1)pdm09 would have close contact with healthy classmates, who would be at higher risk of acquiring this disease.

We found that use of closed modes of transportation was also a risk factor. Although other studies reported that transmission rates of influenza A(H1N1)pdm09 were not increased by close and frequent contact with other persons on public transportation, we advocate use of open modes of transportation for travel to and from school, and self-protection measures when using closed modes of transportation (10). For instance, because wearing of face masks is easily applicable and has been shown to be protective, it tended to be a preventative measure for students who use closed transport systems (11).

School closure has been identified as a protective measure for controlling influenza pandemics (12). Some students after school closure continued to participate

in clustered social activities, thereby having potentially increased their risk for contact with patients with influenza A(H1N1)pdm09 outside the school environment. Thus, after school closure, avoidance of large gatherings and clustered social activities may further reduce infection among students.

Some variables that we analyzed were not risk factors (vaccination with pneumococcal vaccine, drug prophylaxis [using traditional Chinese medicine], some handwashing habits (e.g., duration of handwashing), and sharing of tableware with classmates. Further studies might be needed to determine their effects.

There were limitations to this study. Case-patients were recruited into the study 3–8 months after receiving a confirmed diagnosis. Therefore, data collection was retrospective and had potential recall bias. Not all risk factors for influenza could be comprehensively assessed by the questionnaire. Controls were not subjected to laboratory testing, and some asymptomatic infected students may have been misclassified as controls, resulting in underestimation of odd ratios of certain risk factors and overestimation of odd ratios of certain protective factors. We did not include face mask use in the analysis because it was difficult to accurately categorize wearing face masks, given the large variety of face masks in different sizes and varying tightness in use during the pandemic in Beijing, and because we had no data for time, place, or duration of face mask use.

Table 2. Multivariate analysis of independent factors associated with influenza A(H1N1)pdm09 infection among students ≤18 years of age, Beijing, China\*

| Variable  | p value  | Matched OR (95% CI) |
|---|----------|---------------------|
| Vaccination against influenza A(H1N1)pdm09                |          |                     |
| No  | Referent |                     |
| Yes   | <0.001   | 0.07 (0.04–0.11)    |
| Handwashing immediately after sneezing                    |          |                     |
| No  | Referent |                     |
| Yes   | <0.001   | 0.49 (0.33–0.72)    |
| Sleep time, h/day   |          |                     |
| <7  | Referent |                     |
| ≥7  | 0.042    | 0.62 (0.38–0.98)    |
| Classroom space/student, m <sup>2</sup>                   |          |                     |
| <1.6  | Referent |                     |
| ≥1.6  | <0.001   | 0.11 (0.04–0.31)    |
| Participation in outdoor activities after class           |          |                     |
| No  | Referent |                     |
| Yes   | 0.029    | 0.60 (0.38–0.95)    |
| Frequency of classroom ventilation                        |          |                     |
| >1×/h   | Referent |                     |
| 1×/h  | 0.023    | 0.60 (0.39–0.93)    |
| Mode of transportation to and from school                 |          |                     |
| Closed (taxi, public transportation, school bus, car)     | Referent |                     |
| Open (walking, bicycle, motorcycle)                       | 0.010    | 0.58 (0.39–0.88)    |
| Participation in clustered social activities after school |          |                     |
| No  | Referent |                     |
| Yes   | 0.025    | 2.08 (1.10–3.95)    |

\*Ten variables were included in multivariate conditional logistic regression analysis. Backward conditional logistic regression was conducted by removing variables with  $p > 0.10$ , and 8 variables remained in the final regression model. All statistical tests were 2-sided, and significance was defined as  $p < 0.05$ . The statistic for each variable was obtained after adjustment for other 7 variables in the final regression model.

In conclusion, administration of vaccine and non-pharmaceutical interventions were beneficial for control of influenza A(H1N1)pdm09. Thus, it is essential to increase awareness regarding severity of influenza A(H1N1)pdm09 to improve knowledge of the protective effect of influenza vaccine and to promote use of nonpharmaceutical interventions among school-age children.

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# Risk Factors for Influenza A(H1N1)pdm09 among Students, Beijing, China

## Technical Appendix

### Methods used to determine risk factors for influenza A(H1N1)pdm09 among students, Beijing, China

#### Case-Patients

During the pandemic period, a surveillance system, the Notifiable Disease Surveillance System (NDSS), was established in Beijing. Fifty-five collaborating laboratories covering all hospitals in Beijing conducted laboratory confirmation testing for influenza A(H1N1)pdm09 by real-time reverse transcription PCR. Case-patients were defined as persons with demonstrable influenza-like symptoms and laboratory evidence of influenza A(H1N1)pdm09 virus infection. All confirmed cases were reported through NDSS so that influenza A(H1N1)pdm09 could be monitored.

In this study, cases were in school-age children with a confirmed diagnosis of influenza A(H1N1)pdm09 made during October 1, 2009–January 31, 2010. Stratified sampling was used to recruit case-patients through NDSS. We randomly selected 3 urban districts and 3 rural districts from 18 districts in Beijing, and listed all patients  $\leq 18$  years of age by district. We sought to randomly select 50 patients with confirmed influenza A(H1N1)pdm09 from each district. A total of 304 case-patients were enrolled in the study, all of whom were students who attended either primary schools or middle schools (Technical Appendix Table).

## **Controls**

Controls were matched at a ratio of 2:1 with case-patients by sex and age ( $\pm 1$  year). Controls were randomly selected from the same school attended by case-patients (Technical Appendix Table). Basic demographic information such as age, sex, grade, and class was collected from the school records for each case-patient. Recruitment of eligible controls was conducted in parallel classes, but not from the same class as any of the case-patients. This measure enabled independent investigation of potential risk factors for infection, such as frequency of classroom ventilation and classroom space, for each of the case-patients and controls. A confirmed or suspected diagnosis of influenza A(H1N1)pdm09 prevented inclusion of students in the control group. Any students who reported influenza-like symptoms (including fever  $>37.2^{\circ}\text{C}$ , cough, sore throat, nasal congestion, and rhinorrhea) after September 2009 were also excluded. By following these criteria, we excluded patients with possible undetected infection with influenza A(H1N1)pdm09 from the control group, thereby reducing potential bias.

## **Data Collection**

All participants were recruited during March 2010–May 2010. The median time for recruiting case-patients was 15 weeks after illness, and the median time for recruiting controls for each case-patient was within 1 week after case-patients were recruited. This study was approved by the institutional review board and human research ethics committee of the Beijing Center for Disease Control and Prevention. Informed consent was obtained from each participant or guardian where appropriate.

Data from case-patients and controls was collected by face-to-face interviews that used a standardized questionnaire. Each participant was interviewed by 2 investigators simultaneously. One investigator was allocated the task of interviewing the student and recording answers, and the other investigator was responsible for ensuring that the questionnaire was completed. Each questionnaire was co-signed by both interviewers upon completion of the interview. Information

was collected on potential risk factors related to personal hygiene habits, vaccination history, and means of transportation. There were 19 self-reported variables: 1) classroom space per student (adequate  $\geq 1.6\text{m}^2$ , inadequate  $< 1.6\text{m}^2$ ); 2) participation in outdoor activities after class; 3) frequency of classroom ventilation (frequent, more than once per hour; infrequent, once per hour); 4) eye rubbing; 5) handwashing immediately after sneezing; 6) use of soap during handwashing; 7) using running water to wash hands; 8) handwashing after lessons in communal classrooms; 9) handwashing after outdoor sports activities; 10) duration of handwashing ( $< 20$  s or  $\geq 20$  s); 11) sleep time per day (more sleep time  $\geq 7$  h, less sleep time,  $< 7$  h); 12) sharing tableware with classmates; 13) having meals in small restaurants (catering for  $< 100$  persons dining simultaneously) near the school; 14) vaccination against influenza A(H1N1)pdm09; 15) vaccination with pneumovax; 16) vaccination against seasonal influenza; 17) drug prophylaxis (using traditional Chinese medicine); 18) modes of transportation to and from school (enclosed transportation such as taxis, public transportation, private cars, or school buses; open transportation such as walking, bicycles, or motorcycles); and 19) participation in clustered, social activities after school closure (such as attendance at parties).

Because the variable handwashing with soap may also include handwashing with running water, we exclude the variable hand washing with running water before analysis. We also excluded the variable vaccination against seasonal influenza because it corresponded strongly with vaccination against influenza A(H1N1)pdm09. A total of 17 variables were used in the final analysis.

All participants were interviewed independently of each other. Data were checked to ensure quality, completeness, and validity by trained staff at the Center for Disease Control and Prevention.

## Statistical Analyses

Data from each questionnaire for case-patients and controls were entered in duplicate and were verified by using EpiData software version 3.1. ([www.epidata.dk/download.php](http://www.epidata.dk/download.php)). Statistical analysis was performed using SPSS version 16.0 (SPSS Inc., Chicago, IL, USA). Median and range values were calculated for continuous variables, and percentages were calculated for categorical variables. Bivariate and multivariate conditional logistic regression analysis was conducted to determine risk factors associated with infection among students. Matched odds ratios and 95% CIs were calculated. Variables with  $p < 0.05$  by bivariate analysis were included in multivariate analysis. Collinearity was evaluated for all variables in the final model. Backward conditional logistic regression was conducted by removing variables with  $p > 0.10$ . All statistical tests were 2-sided, and significance was defined as  $p < 0.05$ .

Technical Appendix Table. Characteristics of students analyzed for risk factors for influenza A(H1N1)pdm09, by district, Beijing, China

| Characteristic        | District  |           |           |            |           |            |
|-----------------------|-----------|-----------|-----------|------------|-----------|------------|
|                       | Dongcheng | Xicheng   | Haidian   | Tongzhou   | Changping | Miyun      |
| Participant           |           |           |           |            |           |            |
| Case-patient          | 54        | 50        | 50        | 50         | 50        | 50         |
| Control               | 108       | 100       | 100       | 100        | 100       | 100        |
| No. schools           | 3         | 6         | 10        | 1          | 2         | 6          |
| Median age, y (range) | 12 (8–15) | 13 (9–18) | 10 (7–15) | 14 (12–16) | 11 (6–16) | 15 (11–19) |
| Sex                   |           |           |           |            |           |            |
| M                     | 81        | 78        | 87        | 69         | 65        | 103        |
| F                     | 81        | 72        | 63        | 81         | 85        | 47         |