Extended-spectrum β-lactamases (ESBLs) confer resistance to clinically important third-generation cephalosporins, which are often used to treat invasive salmonellosis. In the United States, ESBLs are rarely found in Salmonella. However, in 2014, the US Food and Drug Administration found blaCTX-M-65 ESBL-producing Salmonella enterica serotype Infantis in retail chicken meat. The isolate had a rare pulsed-field gel electrophoresis pattern. To clarify the sources and potential effects on human health, we examined isolates with this pattern obtained from human surveillance and associated metadata. Using broth microdilution for antimicrobial susceptibility testing and whole-genome sequencing, we characterized the isolates. Of 34 isolates, 29 carried the blaCTX-M-65 gene with ≤9 additional resistance genes on 1 plasmid. Of 19 patients with travel information available, 12 (63%) reported recent travel to South America. Genetically, isolates from travelers, nontravelers, and retail chicken meat were similar. Expanded surveillance is needed to determine domestic sources and potentially prevent spread of this ESBL-containing plasmid.

A leading cause of bacterial foodborne disease in the United States is nontyphoidal Salmonella (1). Salmonella enterica serotype Infantis (hereafter called Salmonella Infantis) is one of the most common Salmonella serotypes in the United States and 1 of 3 serotypes for which incidence has substantially increased (by 60%) in the past 10 years (2). Salmonella Infantis has been identified in a variety of foods, animals, and environmental settings.

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Preliminary results from this analysis were presented at the International Salmonella and Salmonellosis Symposium, June 6–8, 2016, St. Malo, France, and at the International Association for Food Protection Annual Meeting, July 9–12, 2017, Tampa, Florida, USA.
Methods

Background Rates

To determine the expected demographics, rates of hospitalization, and international travel among patients with *Salmonella* Infantis infections compared with patients with infections caused by other common nontyphoidal *Salmonella* serotypes, we analyzed data collected through the Foodborne Disease Active Surveillance Network (FoodNet; https://www.cdc.gov/foodnet/index.html) during 2012–2015. Begun in 1996, FoodNet has conducted active, population-based surveillance for culture-confirmed cases of infection caused by 9 pathogens transmitted commonly through food, including *Salmonella*. FoodNet is a collaboration of CDC, 10 state health departments, the US Department of Agriculture Food Safety and Inspection Service (USDA-FSIS), and the FDA. The FoodNet surveillance area includes 15% of the US population; these data are used to estimate the burden of US foodborne illnesses, hospitalizations, and deaths (1). We defined other common nontyphoidal *Salmonella* as the top 20 *S. enterica* serotypes (excluding Infantis) isolated from humans: Typhimurium, Enteritidis, Newport, Heidelberg, Javiana, Saintpaul, Montevideo, Agona, Oranienburg, Muenchen, Thompson, Hadar, Braenderup, Derby, I 4,[5],12:i:-, Paratyphi B var. L(+) tartrate+, Blockley, Anatum, Mississippi, and Panama. These 20 serotypes represented 69% of nontyphoidal *Salmonella* isolates reported to FoodNet in 2015.

Case Finding

We looked for pattern 787 isolates reported to the National Molecular Subtyping Network for Foodborne Disease Surveillance (PulseNet; https://www.cdc.gov/pulsenet/index.html) through 2017. The PulseNet database contains pulsed-field gel electrophoresis patterns from state and local public health laboratories and food regulatory agencies. Only the first isolate from each patient was included in case counts.

CDC also requested patient data and clinical isolates from state and local public health departments for any case with pattern 787 reported through October 2015. Patient data included age, sex, date of symptom onset, hospitalization, and recent international travel (defined as travel outside of the United States in the 7 days before symptom onset). Isolate data included specimen collection date(s) and source.

Isolate Characterization

We used the NARMS standard broth microdilution protocol (Sensititer; Thermo Fisher Scientific, Oakwood Village, OH, USA) to determine the MICs for 14 antimicrobial agents: gentamicin, streptomycin, ampicillin, amoxicillin/clavulanic acid, ceftiofur, ceftriaxone, cefoxitin, azithromycin, sulfadoxazole, trimethoprim/sulfamethoxazole, chloramphenicol, ciprofloxacin, nalidixic acid, and tetracycline (10). These agents were categorized into 9 classes defined by the Clinical and Laboratory Standards Institute guidelines (https://clsi.org/); where available, CLSI interpretive criteria were used to define resistance. Transformation studies to confirm plasmid-associated genes were conducted by using plasmid purification and electroporation as previously described (11).

Whole-genome sequencing was performed according to the PulseNet protocol for the Illumina MiSeq (Illumina, La Jolla, CA, USA) (12). Closed PacBio genomes were generated as part of a previous study and used as references where indicated (8). Genome assemblies for short-read data were generated de novo and analyzed for acquired antimicrobial drug–resistance determinants and plasmid repilcons by using ResFinder and PlasmidFinder (13,14). To confirm the absence of certain genes, we performed read mapping in CLC Genomics Workbench version 8.5 (https://www.qiagenbioinformatics.com/products/clc-genomics-workbench/). To identify mutational resistance, we extracted the *gyrA* and *parC* genes from genome assemblies by using a perl script (https://github.com/lskatz/lskScripts/blob/master/blastAndExtract.pl). To identify mutations in the quinolone resistance–determining regions of these genes, we aligned gene sequences in CLC Workbench. To assess isolate relatedness, we generated high-quality single-nucleotide polymorphism (hqSNP) phylogenies. In brief, isolates were aligned to the closed chromosomal sequence of 2014AM-3028 (omitting the plasmid contig) by using Lyve-SET (https://github.com/lskatz/lyve-SET-v1.1.4f). Genome alignments were processed by using Gubbins (https://sanger-pathogens.github.io/gubbins/) to omit areas of recombination, uninformative sites were removed, and the resulting SNP alignment was used to calculate pairwise differences and generate hqSNP trees by using scripts bundled with Lyve-SET (15,16). We performed a phylogeographic analysis (a type of molecular clock analysis) by using Bayesian Evolutionary Analysis Sampling Trees (BEAST; https://journals.plos.org/ploscompbiol/article?id=10.1371/journal.pcbi.1003537).

To sample more diverse *Salmonella* Infantis isolates, we obtained sequence data on isolates from sources other than CDC NARMS assigned to the same National Center for Biotechnology Information (NCBI) SNP cluster PDS000003955.192 as our study isolates on the NCBI Pathogen Detection page (17). These additional genomes were from isolates recovered from hospitalized patients, meat, and environmental samples from Peru during 2010–2014 and collected by the Center for Food Safety and Applied Nutrition at FDA and the US Naval Medical Research Unit (18), and isolates from chicken samples obtained at slaughter by USDA-FSIS. These isolates were used to produce a time-measured maximum clade credibility tree to estimate the dates that study isolates in addition to all isolates (study
isolates and isolates from Peru and cecal isolates from USDA-FSIS) shared most recent common ancestors (MRCA), and the geographic location of these MRCA (18). The date of the MRCA was estimated by reporting the height of the most ancestral node of the maximum clade credibility tree and the 95% highest posterior density (HPD) intervals for these estimates. More details on genetic analyses are available in the online Technical Appendix (https://wwwnc.cdc.gov/EID/article/24/12/18-0500-Techapp1.pdf).

Statistical Analyses
We used the χ2 test (or Fisher exact test when cell counts were ≤5) for statistical comparisons. All denominators exclude persons for whom data were missing. We considered p<0.05 to be significant. All p values were 2-tailed. We used SAS 9.3 or 9.4 (SAS Institute, Cary, NC, USA) to conduct our analyses.

Results
The first pattern 787 Salmonella Infantis isolate from a human in the United States was collected from a patient in June 2012. By the end of 2017, PulseNet contained 312 Salmonella Infantis pattern 787 isolates from persons living in 43 states; Washington, DC; and Puerto Rico. The number of cases detected each year increased from 5 in 2012 to 174 in 2017 and represented 8.4% of all Salmonella Infantis isolated that year (Figure 1).

State health departments submitted 34 pattern 787 isolates from humans to CDC; 29 (85%) had resistance phenotypes consistent with ESBL-conferred resistance to ceftriaxone, ceftioxur, and ampicillin (Tables 1, 2). All 29 isolates with ESBL-resistant phenotypes had the blaCTX-M-65 gene. In addition to ESBL-conferred resistance, these isolates were also resistant to chloramphenicol, sulfisoxazole, tetracycline, nalidixic acid, and trimethoprim/sulfamethoxazole and intermediate susceptible to ciprofloxacin and gentamicin. Resistance to these drugs was plasmid mediated and transferable to E. coli, except for nalidixic acid and ciprofloxacin, for which resistance was caused by a chromosomal mutation in gyrA (D87Y). Transferable resistance resulted from a large IncFIB-like plasmid containing the resistance genes aph(3′)-Ie, aph (4)-Ia, aadA1, aac (3)-IIa, blaCTX-M-65, fosA, floR, sul1, tetA, and dfrA14. These observations are consistent with the organization of these genes on a single IncFIB-like plasmid closed by using long read sequencing, which was completed as part of a previous study by Tate et al., who recently published a further detailed description of this plasmid and a comparative genomics analysis of blaCTX-M-65-positive IncFIB-like plasmids from Salmonella Infantis in the United States (9).

We focused our analysis on the 29 patients with Salmonella Infantis isolates containing the blaCTX-M-65 gene (hereafter called CTX-M-65 Infantis). We compared patients infected with CTX-M-65 Infantis with patients infected with all strains of Salmonella Infantis and with patients infected with common Salmonella serotypes other than Infantis. The median age of patients with CTX-M-65 Infantis was 25 years (interquartile range [IQR] 15–50 years), and 69% were female (Table 3). The median age of patients with any strain of Salmonella Infantis was 37 years (IQR 12–58 years), and 56% were female. The median age of patients infected with other common Salmonella serotypes was 29 years (IQR 6–54 years), and 52% were female.

Of 18 patients infected with CTX-M-65 Infantis for whom outcome data were available, 8 (44%) were hospitalized, compared with a hospitalization rate of 29% among patients in both comparison groups (those with Infantis and those with other Salmonella serotypes; Table 3). Patients with CTX-M-65 Infantis infection were more likely (17%) to have the organism isolated from urine compared with all patients with Salmonella Infantis (9%, p = 0.14) and patients with other common serotypes (5%, p<0.01).

For 20 CTX-M-65 Infantis–infected patients with information about symptom onset date and isolation date(s), Salmonella Infantis was recovered from 12 who provided fecal samples >2 weeks after their reported date of symptom onset. A total of 8 patients were still reporting symptoms and had positive cultures >30 days after symptom onset, including 3 patients who sought care and had multiple isolates recovered from 50 days to 8 months after symptom onset.

Twelve (63%) patients with CTX-M-65 Infantis infections reported international travel in the 7 days before symptom onset. All reported travel to South America, 10 to Peru, and 2 to Ecuador (Table 3). Travel was less common among all Salmonella Infantis–infected patients (7%, p<0.01) and those infected with other common serotypes (8%, p<0.01; Table 3).
A maximum-likelihood hqSNP phylogeny of the 34 isolates from humans and that from retail chicken meat revealed that isolates from patients with travel-associated infections formed a well-supported clade (clade A) with isolates from patients with infections not associated with travel (Figure 2). Isolates in clade A differed by only 2–47 pairwise hqSNPs, suggesting that these isolates recently evolved from a common ancestor. Included in clade A was the isolate from retail chicken meat collected in 2014.

Of the 5 CTX-M-65-negative isolates, 2 grouped within clade A and contained a multidrug-resistance plasmid that was lacking the \( \text{bla}_{\text{CTX-M-65}} \) gene. An additional 3 CTX-M-65–negative isolates lacked the IncFIB-like plasmid replicon, lacked resistance determinants, and differed by

### Table 1. Characteristics of patients and 30 *Salmonella enterica* serotype Infantis pattern JFXX01.0787 isolates associated with CTX-M-65, United States

<table>
<thead>
<tr>
<th>Accession no.</th>
<th>Year</th>
<th>Specimen source</th>
<th>Resistance profile</th>
<th>Plasmids</th>
<th>gyrA</th>
<th>parC</th>
<th>Hospitalized</th>
<th>Recent travel</th>
<th>Prolonged infection‡</th>
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<td>2012</td>
<td>Urine</td>
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<td>2012</td>
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A, ampicillin; Aug, amoxicillin–clavulanic acid; C, chloramphenicol; Cip, ciprofloxacin; Cot, trimethoprim/sulfamethoxazole; Cx, cefixime; Cx, ceftriaxone; Fox, cefoxitin; Gen, gentamicin; (I), intermediate susceptibility; NA, not applicable; NaIc, nalidixic acid; S, streptomycin; Su, sulfisoxazole; T, tetracycline; Tio, cefotiofur.

† Accession numbers are the sequence read archive run identification numbers from the National Center for Biotechnology Information.

‡ Documented illness >2 wk after symptom onset. Blank cells indicate no evidence of prolonged infection (either no additional data were available or additional data indicated no clear evidence of prolonged infection).

Emerging Infectious Diseases • www.cdc.gov/eid • Vol. 24, No. 12, December 2018 2287
96–273 pairwise hqSNPs from isolates in clade A. Absence of the blaCTX-M-65 gene in these 5 isolates was confirmed by read-mapping procedures and supported by the observed phenotypic susceptibility to β-lactam antimicrobial drugs.

A phylogeographic analysis of the 32 isolates in the main clade and additional genomes from NCBI generated a time-measured phylogenetic tree of isolates from the United States and Peru (online Technical Appendix Figure 1). These isolates last shared a common ancestor around 2006 (95% HPD interval 2003–2008). This analysis also suggests that the MRCA of these isolates existed in Peru with a probability of 98.7%. The probability of the tree being rooted in the United States was ≈1.3%. Clinical isolates from humans in the United States sequenced as part of our study last shared a common ancestor around 2009 (95% HPD interval 2008–2009), before the first isolation of pattern 787 in the United States in 2012.

Discussion

A new strain of Salmonella Infantis, which has pattern 787 and frequently carries a multidrug-resistant plasmid with a CTX-M-65 ESBL, has emerged in the United States. This strain possesses clinically important resistance associated with higher hospitalization rates. Using epidemiologic and phylogenetic methods, we demonstrated that the earliest cases of CTX-M-65 Infantis infection were among travelers returned from South America, whereas subsequent infections were acquired domestically.

The demographic and clinical characteristics among patients with CTX-M-65 Infantis infections differed from those of patients infected with all strains of Salmonella Infantis or other common Salmonella serotypes. CTX-M-65 Infantis–infected patients were younger and more frequently female, and rates of hospitalization were 50% higher for these patients than for those in the other 2 groups. Among patients with CTX-M-65 Infantis infections, 63% reported recent travel to South America, predominantly to Peru, compared with <10% in the comparison groups reporting travel. This finding is consistent with those of other studies that found foreign travel to be a risk factor for CTX-M–type ESBLs (19–21). Studies have shown that CTX-M-65 has recently emerged in commensal E. coli in Bolivia (22) and in Salmonella Infantis in Ecuador (23), 2 countries to which patients in our study also traveled.

Our phylogeographic analysis, which included many isolates from Peru, suggested that isolates from patients in the United States last shared a common ancestor that existed in Peru in 2009. This finding makes sense, given the high proportion of patients in our study who reported travel to Peru and the known circulation of CTX-M-65–positive Enterobacteriaceae in South America. In our study, patients with CTX-M-65 Infantis infection and a history of travel...
were reported every year from 2012 through 2015; patients with no history of travel were first reported in 2014.

One caveat is that genetic information for CTX-M-65 Salmonella from countries in South America other than Peru was not available; therefore, we could not distinguish the role that other countries in South America may have played in the spread of this Salmonella Infantis strain to the United States. We cannot definitively determine how patients with this strain of Salmonella Infantis who did not report travel to South America became infected; however, our analysis does show a close genetic relationship between clinical isolates from these patients and isolates collected by USDA-FSIS from chickens in the United States.

Poultry consumption may be a source of CTX-M-65 Infantis infection in the United States and abroad. In our study, more than one third of patients with CTX-M-65 Infantis infections did not report recent international travel and thus were exposed via a domestic source. CTX-M genes have been linked to poultry, particularly broiler chickens (24–26). A study of 14 chicken farms in Henan Province, China, conducted during 2007–2008, was the first to describe detection of CTX-M-65–producing E. coli in chickens (27). Recently, CTX-M-65 Infantis was found to be transmitted from broiler chickens and chicken meat to humans in Italy (28).

The results of our initial hqSNP analysis demonstrated that the original isolate collected from retail chicken in

![Figure 2. High-quality single-nucleotide polymorphism–based phylogenetic tree of clinical and retail meat isolates of Salmonella enterica serotype Infantis with pulsed-field gel electrophoresis pattern JFXX01.0787 collected in the United States and submitted to the National Antimicrobial Resistance Monitoring System for whole-genome sequencing. Tree tips are labeled with National Center for Biotechnology Information accession numbers (sequence read archive run identification numbers); shading indicates patients’ international travel history for clinical isolates (black, recent international travel; gray, no recent international travel; white, travel data missing). Black circles indicate isolates that are missing the blCTX-M-65 gene. Isolates in top clade differed by 2–47 high-quality single-nucleotide polymorphisms. Numbers displayed on nodes are bootstrap support values, an indication of the reliability of the tree. Only bootstrap values >80 are displayed. More information on patient and isolate characteristics are provided in Tables 1 and 2. Scale bar indicates nucleotide substitutions per site.](image-url)
2014 was genetically related to isolates from humans. In addition, our data show a clear partition between the dates of specimen collection from the first travel-associated infections detected in the United States (2012) and the dates of the first domestically acquired infections detected (2014) (online Technical Appendix Figure 2). Sampling in poultry production plants and sequence data from USDA-FSIS demonstrate that this strain was present in domestic food processing plants during the latter part of the study period (2014–2015) (29). We cannot, however, determine precisely when or how this strain was introduced into poultry stock in the United States because enhanced poultry plant sampling was not conducted before 2014. International distribution of infected breeder stocks, chicken feed, or feed additives contaminated with CTX-M-65 Infantis could help explain how these broiler-associated infections have spread globally during the same period.

CTX-M-65, in comparison with more well-characterized CTX-M enzymes, differs by only 2 substitutions (A77V, S272R) from CTX-M-14, one of the more commonly detected CTX-M variants worldwide (30,31). The bla<sub>CTX-M-65</sub> gene was transmitted on a large IncFIB-like plasmid containing multiple resistance genes. The presence of multidrug resistance in CTX-M-65 Infantis isolates in our study suggests that a variety of antimicrobial drugs could provide positive selection pressure and thus promote persistence of this strain. These characteristics suggest that the potential for spread of the gene is high. Research conducted in Bolivia has shown that even in the absence of selective pressure from antimicrobial drug use, plasmid transfer of CTX-M-65 from <i>E. coli</i> to other pathogens was achieved at high frequency and shown to be stable (22).

Additional studies on antimicrobial drug use and management practices in food animals may help us understand which factors contribute most to the emergence, persistence, and spread of resistance genes such as <i>bla</i><sub>CTX-M-65</sub>. New efforts to perform whole-genome sequencing on all <i>Salmonella</i> isolates at public health laboratories nationwide will help determine whether plasmid-mediated <i>bla</i><sub>CTX-M-65</sub> has spread to other <i>Salmonella</i> serotypes. One limitation of our study was that we were unable to obtain epidemiologic data for all 312 cases. The data available from FoodNet enabled us to compare variables such as patient demographics, travel, and hospitalizations; however, we did not have a control population for evaluating other variables of interest to determine potential domestic sources of transmission.

The spread of CTX-M-65 is concerning because the presence of ESBLs eliminates 2 recommended treatment options, ceftriaxone and ampicillin, for the management of salmonellosis. Given the multidrug-resistant profile of CTX-M-65 Infantis, potential for plasmid-mediated transmission, increased hospitalization rate, and evidence of this strain in domestic poultry, action is needed to prevent widespread dissemination in the United States. Enhanced surveillance and additional studies in humans and food animals may help pinpoint the sources of infection for implementation of prevention and control measures. Meanwhile, travelers and healthcare providers should be aware of the risks and implications of infection with this strain, including the potential for antimicrobial treatment failure.

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References


Technical Appendix

Supplementary Methods

Genomic DNA was extracted using the Qiagen DNeasy Blood and Tissue Kit (Qiagen, Valencia, CA). Paired end, 250 bp whole genome sequencing libraries were generated using the Nextera XT kit and sequenced on the Illumina MiSeq (Illumina, LaJolla CA) according to the PulseNet protocol for Illumina MiSeq (1). Genome assemblies were generated de novo using CLC Genomics Workbench v8.5 (Qiagen, Valencia, CA). We used ResFinder v.2.1 and PlasmidFinder v.1.3 with 90 and 50% identity cutoffs, respectively, to identify acquired antimicrobial resistance determinants and plasmid replicons in assembled genomes (2,3). SNP phylogenies in this study were generated by aligning reads to the closed chromosomal sequence of 2014AM-3028 (NCBI Accession SAMN05255999 [SRR3710049-SRR3710052]) using lyve-SET-v1.1.4f (https://github.com/lskatz/lyve-SET/) and the presets for Salmonella enterica. The resulting genome alignment was examined using Gubbins (version released 08–2015) to omit areas of recombination (4). The removeUninformativeSites.pl script from Lyve-SET was used to filter out uninformative sites while allowing ambiguities, and the filtered multi-sequence alignment was used to calculate pairwise differences and build high quality single nucleotide polymorphism (hqSNP) trees using the pairwiseDistances.pl and launch_raxml.sh scripts included in Lyve-SET (5). The resulting phylogenetic trees were annotated in R v. 3.2.4 with the package “ggtree” (6). A discrete Bayesian phylogeography analysis was conducted in in BEAST v. 2.4.7 https://journals.plos.org/ploscompbiol/article?id=10.1371/journal.pcbi.1003537. To sample more diverse Infantis isolates, we obtained sequence data for isolates assigned to the same NCBI SNP cluster (PDS000003955.192) as our study isolates on the NCBI Pathogen Detection page (https://www.ncbi.nlm.nih.gov/pathogens/). Path-O-Gen v. 1.4 was first used to
assess the temporal signal in isolates and thus their suitability for further molecular clock analysis (7). The appropriate site model (HKY + Γ + I) was selected using bModelTest (v. 1.0.4) (8). The BEAST package MASCOT (v. 0.0.4) was used to conduct the phylogeographic analysis (9). Analysis was performed on a filtered SNP matrix detailed above and the output xml file was modified to account for constant sites in the genome (constantSiteWeights = ”1104982 1209720 1202237 1099939”). A strict molecular clock was selected and run for 10^8 iterations, with sampling every 10,000 iterations. Output was evaluated using Tracer v. 1.6. Three independent chains were evaluated and a representative BEAST tree file was used to produce a maximum clade credibility tree using Tree Annotator with default parameters and the “keep” option for heights.

References


Technical Appendix Figure 1. Tip-dated maximum clade credibility tree of 160 isolates from SNP cluster PDS000003955.192, including 32 from the present study, generated using the MASCOT package in BEAST. x-axis denotes calendar year and the tree tips aligned with the year of collection for a given isolate. The tree is colored based on the posterior probability that location is Peru, with locations likely to be Peru colored light blue, and locations likely to be USA colored dark blue. Tip labels correspond with NCBI SRR ID, are colored based on the location the isolate was collected from (Peru = light blue; USA = dark blue), and symbols next to these labels indicate whether or not these isolates were from patients.
with a history of international travel for isolates sequenced as part of the present study. Posterior support for internal nodes are labeled where values are >0.80.

Technical Appendix Figure 2. Dates of specimen collection for isolates in Clade A, by year and history of international travel—United States, 2012–2015. International travel history denoted by shading: black indicates recent international travel; gray indicates no recent international travel; white indicates travel data are missing or not applicable.