Human adenovirus type 4 (HAdV-4) is most commonly isolated in military settings. We conducted detailed molecular characterization on 36 HAdV-4 isolates recovered from civilian adults with acute respiratory disease (ARD) in the northeastern United States during 2011–2015. Specimens came from college students, residents of long-term care facilities or nursing homes, a cancer patient, and young adults without co-morbidities. HAdV-4 genome types 4a1 and 4a2, the variants most frequently detected among US military recruits in basic training before the restoration of vaccination protocols, were isolated in most cases. Two novel a-like variants were recovered from students enrolled at a college in Tompkins County, New York, USA, and a prototype-like variant distinguishable from the vaccine strain was isolated from an 18-year-old woman visiting a physician’s office in Ulster County, New York, USA, with symptoms of influenza-like illness. Our data suggest that HAdV-4 might be an underestimated causative agent of ARD among civilian adults.

HAdV-4 respiratory infections are preventable by vaccination with the live oral formulation of the nonattenuated p-like strain exclusively licensed for military use (19,20). After 15 years of discontinuation of HAdV-4 vaccination protocols with the consequent resurgence of continuous outbreaks of HAdV-4–associated illness in US recruit training facilities nationwide, US Department of Defense reinstated the vaccine in November 2011, dramatically reducing the number of cases of HAdV infection in basic training camps (21,22).

The absence of a sentinel system for HAdV surveillance outside of the military has made assessing the burden of disease attributable to HAdV-4 infection among civilians difficult. The limited epidemiologic data available in the published literature suggest that respiratory disease associated with HAdV-4 infection is detected at a significantly lower frequency than disease associated with species C or B HAdV types among children and that HAdV-4 infection occurs rarely among civilian adults (23–27).

Consequently, the apparent increased frequency of detection of cases and case clusters of HAdV-4 respiratory infection in the northeastern United States, documented by using restriction enzyme analyses of viral DNA, several studies have reported extensive intratypic genetic variability for HAdV-4 (14–16). Two major clusters of genetic homology have been identified among circulating genomic variants: prototype (p)–like viruses, which are closely related to prototype strain RI-67, and a-like viruses, which exhibit, among other characteristics, distinct BamHI restriction profiles (15), a distinct inverted terminal repeat (17,18), and a different genetic make-up in the E3 region (A.E. Kajon, unpub. data).

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by the New York State Department of Health (NYSDOH) or the Centers for Disease Control and Prevention (CDC) (data not shown), caught our attention. In this article, we report the molecular characterization of 36 select HAdV-4 isolates from a selection of retrospectively evaluated cases among civilians.

**Methods**

**Source of Specimens**

We obtained HAdV-4–positive specimens from patients with ARD/influenza-like illness (ILI) characterized by fever >37.8°C and cough, sore throat, or other respiratory symptoms. We selected cases that were originally identified by the NYSDOH as part of its activities for the US Sentinel Physician ILI Surveillance Network (https://www.cdc.gov/flu/weekly/pdf/flu-surveillance-overview) or that represented special HAdV cases referred to the CDC for investigation because of their clinical disease severity or occurrence during an outbreak. Institutional review board review was not required for the processing of clinical samples or for the evaluation of patient information, which were obtained during routine diagnostic workups at the Clinical Virology Laboratory, Yale–New Haven Hospital (New Haven, Connecticut, USA), and the University of Rochester Medical Center (Rochester, New York, USA). Review board approval was also not required for the typing protocol used on deidentified HAdV isolates at the Lovelace Respiratory Research Institute (Albuquerque, New Mexico, USA).

**Virus Isolation and Initial Identification**

Virus isolation from respiratory specimens and typing was performed at CDC (Atlanta, Georgia, USA) or the Virology Laboratory, Wadsworth Center NYSDOH (Albany, New York, USA). The virus isolates were initially identified as HAdV-4 by molecular procedures as previously described (28,29).

**Genome Typing by Restriction Enzyme Analysis**

Cultured isolates were shipped to Lovelace Respiratory Research Institute for propagation and extraction of intracellular viral DNA from infected A549 cell monolayers as previously reported (30). We digested viral DNA samples with a panel of 6 endonucleases to identify genomic variants following the initial guidelines of Li and Wadell (15) and as previously applied to the genomic characterization of US military strains (16) to facilitate comparisons. In brief, we digested 1 μg of purified viral DNA with BamHI, DraI, EcoRI, EcoRV, SmaI, and XhoI (New England Biolabs, Ipswich, MA, USA) in a 20-μL reaction following the manufacturer’s recommended conditions. For the isolates selected for complete genomic sequencing, we performed genome typing by in silico digestion of their viral DNA with the same panel of enzymes using Geneious Pro 9 (Biomatters Ltd, Auckland, New Zealand) (31).

**Genome Sequencing and Analysis**

We then carried out next-generation sequencing reactions at the Wadsworth Center’s Applied Genomics Technology Core with the purified viral genomic DNA prepared at Lovelace Respiratory Research Institute. The 12 isolates that were selected for next-generation sequencing were representative of the set of identified variants on the basis of restriction enzyme analysis, location, and date of detection. We prepared libraries with the NexteraXT kit (Illumina, San Diego, CA, USA) and performed paired-end sequencing on the Illumina MiSeq using the NextSeq 500 cycle v2 kit (Illumina). We assembled all genomic sequences de novo using the Illumina BaseSpace cloud application for SPAdes 3.5 (http://spades.bioinf.spbau.ru/release3.5.0/manual.html); we then remapped the sample sequences to the consensus sequence using Geneious Pro 9 (https://www.geneious.com/). Confirmation of sequence accuracy for specific regions of the genome was carried out by Sanger sequencing of PCR amplicons as needed. We annotated the HAdV-4 genomes using curated reference sequences available for the prototype RI-67 strain (GenBank accession no. AY594253), the vaccine strain CL68578 (GenBank accession no. AY487947), and several military isolates representing previously described genomic variants (GenBank accession nos. EF371058, AY599837, and AY599835).

For phylogenetic analysis, we aligned the genomic sequences generated in this study with reference strains (Table 1) using MAFFT in Geneious Pro 9. We constructed a maximum-likelihood tree on the basis of the Kimura 2-parameter model (32) with 500 bootstrap replicates using MEGA6 (33).

**Results**

**Case Descriptions**

The HAdV-4–positive ARD/ILI cases we evaluated occurred in otherwise healthy teenagers, young adults in college, and older adult patients who were residing in long-term care facilities, with 1 older adult patient hospitalized in a cancer center. Some cases required prolonged hospitalization or had fatal outcomes.

**Nosocomial Outbreak of HAdV-4 Respiratory Infection in Long-Term Care Facility for Elderly—Boston, Massachusetts, April–May 2006**

A detailed description of this outbreak was published by Kandel et al. in 2010 (34). In brief, the outbreak occurred in a unit with 40 residents of mean age 88 (range 66–99) years. During April–May 2006, fifteen residents had symptoms...
of ARD. HAdV-4 infection was confirmed for 4 residents who had positive virus culture results through PCR amplification and sequencing of the hexon hypervariable regions 1–6 as described by Lu and Erdman (28). The nasopharyngeal aspirates from 3 symptomatic residents gave negative virus culture results, and the remaining 8 residents were not sampled. Three of the 4 patients with confirmed HAdV-4 infections died of complications from ARD. Isolates from 2 of the confirmed cases of HAdV-4–associated pneumonia identified during this outbreak were processed for viral DNA extraction and detailed characterization. The 2 respiratory isolates were genome typed as variant 4a1 by restriction enzyme analysis at Lovelace Respiratory Research Institute.

**Adult Case of Severe Pneumonia—Connecticut, July 2011**

One author (M.L.L.) was involved in testing, consulting, and advising on this case as the clinical laboratory director and as an infectious disease specialist. A 26-year-old man with an unremarkable medical history sought treatment at an emergency department for a 3-day illness involving severe headache, photophobia, nausea, vomiting, and chills. He had seen his doctor 2 days earlier and was treated with amoxicillin and azithromycin. On the following day, he had seen his doctor again and was treated with ceftriaxone and azithromycin. On the 5th day after hospital admission, the patient remained febrile, and his chest radiograph showed diffuse bilateral infiltrates; dialysis was initiated for a creatinine of 4.9 mg/dL (or 430 µmol/L, reference range 53–106 µmol/L). By using the panadenovirus PCR, the plasma viral load was determined to be $1.16 \times 10^4$ copies/mL. Molecular typing conducted at CDC confirmed the presence of HAdV-4 in both the nasopharyngeal swab and plasma specimens. By using a HAdV-4–specific PCR (35), the plasma viral load was determined to be $5.00 \times 10^2$ copies/mL. The patient eventually recovered with supportive therapy. The HAdV-4 isolate obtained from the nasopharyngeal swab was genome typed at Lovelace Respiratory Research Institute as variant 4a2 by restriction enzyme analysis.

**Cases of ILI among College Students—New York, 2011–2015**

During December 2011–October 2015, several HAdV-4–positive cases were identified at the Wadsworth Center Virology Laboratory among students enrolled at 7 colleges in 6 New York counties (Table 2; Figure 1). Persons arrived at their corresponding student health clinics with symptoms of ILI but tested negative for influenza by the CDC human influenza virus real-time reverse transcriptase PCR diagnostic panel. Four different HAdV-4 genomic variants were isolated from this group of patients 18–25 years of age (Table 2).


During 2011–2015, ILI surveillance efforts identified 5 additional cases of acute HAdV-4 respiratory infection of variable severity (Table 2; Figure 1). These cases included infections in 2 adult patients (NY3 and NY25) sampled in...
physicians’ offices, a case of pneumonia reported in a nursing home (NY9), a fatal case involving respiratory complications in a patient at a cancer center (NY10), and a case of ARD detected in a teenager at a pediatric clinic (NY23).

### Adult Case of Severe Pneumonia—Ontario County, New York, August 2012

One author (M.M.) was involved in testing, consulting, and advising on this case as the director of the Virology Laboratory at the Strong Memorial Hospital, University of Rochester Medical Center. A 43-year-old woman with cough and an unremarkable medical history sought treatment at the emergency department of University of Rochester Medical Center, Monroe County (NY8; Table 2; Figure 1). She was prescribed levofloxacin for presumed community-acquired pneumonia and sent home. Four days later, she was admitted with worsening cough, shortness of breath, and rigors and was found to have bilateral infiltrates on chest radiograph, anemia, and leukocytosis. She subsequently required intubation for declining respiratory status. Despite treatment with multiple broad-spectrum antimicrobial drugs, she experienced severe hypoxic hypercarbic respiratory failure requiring venous-venous extracorporeal mechanical oxygenation. Her nasopharyngeal swab was positive for HAdV by FilmArray (BioFire Diagnostics, bioMérieux, Marcy l’Etoile, France). She was treated with 3 doses of cidofovir. Her hospital course was complicated by severe acute kidney injury, acute tubular necrosis, and anuria requiring continuous veno-venous hemofiltration. Additional complications included cerebral edema, intracranial hemorrhage, and persistent hypertension. She was weaned from the ventilator after 40 days and was discharged to in-patient rehabilitation on day 53 of hospitalization. A year after discharge, she continued to experience bronchiectasis and dyspnea on exertion but had otherwise returned to her previous level of function. HAdV was isolated from cultures of the patient’s tracheal aspirate and nasopharyngeal swab, and a PCR of her peripheral

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**Table 2. Basic demographics, clinical characteristics, and virology findings of 33 cases of HAdV-4 acute respiratory infection detected by New York State Department of Health surveillance, New York, USA, 2011–2015**

<table>
<thead>
<tr>
<th>Case ID</th>
<th>Specimen collection date</th>
<th>Specimen</th>
<th>Patient age, y/sex</th>
<th>Setting</th>
<th>County</th>
<th>Diagnosis</th>
<th>Genome type†</th>
</tr>
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<tbody>
<tr>
<td>NY1</td>
<td>2011 Dec</td>
<td>NPS, OPS</td>
<td>19/M</td>
<td>College</td>
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<td>ILI</td>
<td>4a1</td>
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<tr>
<td>NY2</td>
<td>2011 Dec</td>
<td>NSW</td>
<td>18/M</td>
<td>College</td>
<td>Tompkins</td>
<td>ILI</td>
<td>4a2</td>
</tr>
<tr>
<td>NY3</td>
<td>2012 Jan</td>
<td>NPS, OPS</td>
<td>29/M</td>
<td>Outpatient visit</td>
<td>Broome</td>
<td>ILI</td>
<td>4a2</td>
</tr>
<tr>
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<td>NPS, OPS</td>
<td>21/F</td>
<td>College</td>
<td>Albany</td>
<td>ILI</td>
<td>4a2</td>
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<tr>
<td>NY5</td>
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<td>NPS, OPS</td>
<td>21/M</td>
<td>College</td>
<td>Albany</td>
<td>ILI</td>
<td>4a2</td>
</tr>
<tr>
<td>NY6</td>
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<td>NPS, OPS</td>
<td>22/M</td>
<td>College</td>
<td>Albany</td>
<td>ILI</td>
<td>4a2</td>
</tr>
<tr>
<td>NY7</td>
<td>2012 Apr</td>
<td>NPS</td>
<td>22/F</td>
<td>College</td>
<td>Clinton</td>
<td>ILI</td>
<td>4a2</td>
</tr>
<tr>
<td>NY8</td>
<td>2012 Aug</td>
<td>NPS/TA</td>
<td>43/F</td>
<td>ICU</td>
<td>Ontario</td>
<td>Pneumonia, acute respiratory distress syndrome</td>
<td>4a1†</td>
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<tr>
<td>NY9</td>
<td>2012 Sep</td>
<td>NPS</td>
<td>98/F</td>
<td>Nursing home</td>
<td>Dutchess</td>
<td>Pneumonia</td>
<td>4a1</td>
</tr>
<tr>
<td>NY10</td>
<td>2012 Oct</td>
<td>TS</td>
<td>43/M</td>
<td>Cancer center</td>
<td>New York</td>
<td>Fatal outcome</td>
<td>4a2</td>
</tr>
<tr>
<td>NY11</td>
<td>2013 Feb</td>
<td>NPS</td>
<td>21/M</td>
<td>College</td>
<td>Tompkins</td>
<td>ILI</td>
<td>4a Smal v‡</td>
</tr>
<tr>
<td>NY12</td>
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<td>NPS, OPS</td>
<td>20/F</td>
<td>College</td>
<td>Tompkins</td>
<td>ILI</td>
<td>4a Smal v</td>
</tr>
<tr>
<td>NY13</td>
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<td>NPS</td>
<td>19/M</td>
<td>College</td>
<td>Tompkins</td>
<td>ILI</td>
<td>4a Smal v</td>
</tr>
<tr>
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<td>NPS, OPS</td>
<td>18/M</td>
<td>College</td>
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<td>4a Smal v</td>
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<tr>
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<td>NPS</td>
<td>20/F</td>
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<td>Nassau</td>
<td>ILI</td>
<td>4a2</td>
</tr>
<tr>
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<td>NPS</td>
<td>18/F</td>
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<td>Broome</td>
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<td>4a2</td>
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<td>19/F</td>
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<td>Tompkins</td>
<td>ILI</td>
<td>4a1†</td>
</tr>
<tr>
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<td>NPS</td>
<td>18/M</td>
<td>College</td>
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<td>ILI</td>
<td>4a1†</td>
</tr>
<tr>
<td>NY21</td>
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<td>NPS, OPS</td>
<td>25/F</td>
<td>College</td>
<td>Tompkins</td>
<td>ILI</td>
<td>4a1†</td>
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<tr>
<td>NY22</td>
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<td>NPS</td>
<td>18/M</td>
<td>College</td>
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<td>4a1†</td>
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<tr>
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<td>13/M</td>
<td>Outpatient visit</td>
<td>Schenectady</td>
<td>ILI</td>
<td>4a1†</td>
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<tr>
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<td>NPS, OPS</td>
<td>20/M</td>
<td>College</td>
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<td>NPS, OPS</td>
<td>18/F</td>
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<td>4p‡</td>
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<td>NPS</td>
<td>20/M</td>
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<td>NPS</td>
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<td>NPS</td>
<td>22/M</td>
<td>College</td>
<td>Tompkins</td>
<td>ILI</td>
<td>4a1</td>
</tr>
</tbody>
</table>

*HAdV-4, human adenovirus type 4; ICU, intensive care unit; ID, identification; ILI, influenza-like illness; NPS, nasopharyngeal swab; OPS, oropharyngeal swab; TA, tracheal aspirate; TS, throat swab; v, variant exhibiting unpublished profiles for the specified endonucleases.
†Determined by restriction enzyme analysis of viral genomic DNA performed in vitro, in silico, or both with BamHI, DraI, EcoRI, EcoRV, Smal, and Xho and designated according to Li and Waddell (15).
‡Restriction enzyme analysis by in silico digestion.
Adenovirus Type 4 Infections, United States

blood demonstrated a virus load of $3.47 \times 10^5$ copies/mL. Molecular typing at the Wadsworth Center identified the virus as HAdV-4. The HAdV-4 isolate was genome typed as variant 4a1 by both in vitro and in silico restriction enzyme analyses (Table 2).

Virology Findings
Among these 36 select cases (some associated with outbreaks and some epidemiologically unlinked ARD cases) that occurred during 2009–2015 in the northeastern United States, 5 different genomic variants of HAdV-4 were identified by gel-based or in silico restriction enzyme analysis (Figure 2). Isolate NY25 (GenBank accession no. KY996447) was identified as 4p-like (Figure 3) and was indistinguishable from the prototype strain RI-67 or the vaccine strain CL68578 by restriction enzyme analysis (Figure 2). Of the 35 a-like isolates, 18 were classified as genome type 4a1, 12 as genome type 4a2, 4 as genome type 4a SmaI v (having a 4a-like genome with a novel SmaI profile), and 1 as genome type 4a SmaI/XhoI v (having a 4a-like genome with novel SmaI and XhoI profiles) (Table 2).

To investigate a possible transmission event of the vaccine strain administered orally to US military recruits since October 2011 (22), we further characterized the 4p-like strain isolated from case NY25 by whole-genome sequencing. We identified 10 point mutations scattered throughout the genome (6 nonsynonymous and a 3-nt [CAG] in-frame insertion at position 23402 within the L4 coding region and open reading frame of the 100-kDa protein), distinguishing the 2015 isolate from the vaccine strain, CL68578. Phylogenetic analysis of HAdV-4 genomic sequences (Figure 3) also showed this isolate to be more closely related to strains RI-67 and CL68578 than to strain NHRC 90339 (GenBank accession no. EF371058), which was isolated at the Coast Guard Recruit Training Center (Cape May, New Jersey, USA) in 2001 and is representative of genome type 4p4, the only p-like variant detected at military training facilities through 2011 (16) (A.E. Kajon, unpub. data). The phylogenetic analysis revealed 2 major clades, recapitulating the original observations and genomic clustering of variants by Li and Wadell (15). The analysis also showed the SmaI variant to be closely related to genome type 4a1 and the SmaI/XhoI variant to be closely related to genome type 4a2.

Discussion
Enhanced influenza surveillance by public health laboratories initiated after the emergence of pandemic influenza A(H1N1) in 2009, as well as the wider availability of molecular diagnostic assays for multiple viral pathogens, have resulted in increased diagnostic efforts to determine the etiology of influenza-negative ILI, with consequent increased detection of HAdV-associated ARD. As part of our ongoing collaborative efforts to describe the molecular epidemiology and determine the prevalence of HAdV-associated respiratory disease, we examined HAdV-4 isolates recovered from college students with acute febrile respiratory illness in New York and several adults with severe respiratory disease in other locations in the northeastern United States. Restriction enzyme analysis with enzymes previously used to characterize HAdV strains from military recruits (16) and complete genomic sequencing identified 5 different genomic variants among the characterized clinical
HAdV-4 isolates. Two of these variants, 4a1 and 4a2, had been previously identified in association with outbreaks of febrile respiratory illness in military recruit training facilities in the United States and found to be highly prevalent in the basic training environment nationwide before reinstatement of recruit vaccination protocols in 2011 (16) (A.E. Kajon, unpub. data). The genomic variant 4a1 was isolated from the respiratory specimens of 18 of 36 civilians and 4a2 from the respiratory specimens of 12 of 36 civilians retrospectively examined in this study. Two previously unreported variants closely related to 4a1 (SmaI v, n = 4) and 4a2 (SmaI/XhoI v, n = 1) were identified among the 8 examined cases detected at college 4 in Tompkins County, New York. Surprisingly, a p-like, vaccine-like strain was isolated from a respiratory specimen obtained from an 18-year-old woman (case NY25) at a physician’s office in Ulster County, New York, in February 2015. The genome of this clinical isolate (15–4054; Table 1) had a close similarity to the vaccine strain CL68578, and the NYSDOH epidemiology team confirmed contact between this patient and an active member of the military. However, the mutations distinguishing the genome of this isolate from that of the vaccine strain exclude possible transmission from this source. Evolution of this p-like virus from the vaccine strain is highly likely, considering the ability of HAdV-4 to establish persistent infections in gut lymphoid tissue (36) and that vaccinated persons shed the infectious-nonattenuated vaccine strain in their stool (37).

Our molecular epidemiology study of HAdV-4 infections in nonvaccinated military recruits in training during
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1997–2011 demonstrated the lack of circulation of vaccine-like strains in the military environment during the 15 years of clear dominance of this re-emerging type as a causative agent of febrile respiratory illness in US recruit training camps (16). Unfortunately, no studies have reported genome typing data for civilian isolates obtained during the same period. A p-like variant designated 4p4 was identified as the only HAdV-4 genomic variant detected among military trainees at the US Coast Guard Training Center in Cape May through 2011 and, albeit with relatively low prevalence, as the only p-like variant circulating at the other 7 military training sites under surveillance (16) (A.E. Kajon, unpub. data).

Conceivably, exposure of the general population to the nonattenuated vaccine strain could have continued through fecal shedding from persons vaccinated during 1971–1997. Another possibility is that the p-like variants could have been circulating among civilian communities at low prevalence since the 1950s, when they were first identified (2). This topic warrants additional research and continued surveillance to further our understanding of the dynamics and routes of transmission of respiratory HAdVs that have the ability to establish persistent infection in the gut lymphoid tissue.

On the basis of the severity of the clinical presentation of some cases in this study, the HAdV-4 vaccine currently licensed for military use should be considered a potentially valuable resource to prevent disease in susceptible populations living in closed communities, such as college settings, summer camps, and long-term care facilities. Our data and reports of cases of severe ARD associated with HAdV-4 infection in Italy and Singapore (38,39) suggest that the role of this HAdV type in the etiology of adult civilian ARD might have been underestimated in the absence of access to molecular (or other) typing resources. Further, in view of the results of this study and previous research documenting the contribution of HAdV infection to influenza-negative ILI (29), the inclusion of HAdV in differential diagnostic test panels would be invaluable to better assess the role of HAdVs as causative agents of severe respiratory illness and to prevent unnecessary treatment of patients with influenza-negative ILI with anti-influenza agents. In addition, while the failure to detect influenza virus does not guarantee the virus was never present, the detection of HAdV in these cases assists in alleviating concerns regarding influenza vaccine failure. Finally, the potential differences in pathogenicity, transmissibility, and fitness between p-like and a-like genomic variants of HAdV-4 that would explain the marked predominance of a-like variants in the examined collections of HAdV-4–positive respiratory specimens representing sampling of ARD in civilian and military populations in the United States over the past 5 decades (16,40) deserve further investigation.

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Figure 3. Phylogenetic analysis of complete genomic sequences of human adenovirus type 4 reference strains and clinical isolates representative of those examined in study of cases of acute respiratory infection detected in northeastern United States, 2011–2015. We inferred the phylogenetic tree using the maximum-likelihood method on the basis of the Kimura 2-parameter model (32). Evolutionary analyses were conducted in MEGA6 (33). Isolates sequenced in this study are in bold. GenBank accession numbers are in parentheses. Scale bar indicates substitutions per site.
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