Risk Factors for Carbapenem-Resistant *Pseudomonas aeruginosa*, Zhejiang Province, China

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Carbapenem-resistant *Pseudomonas aeruginosa* (CRPA) is a public health concern worldwide, but comprehensive analysis of risk factors for CRPA remains limited in China. We conducted a retrospective observational study of carbapenem resistance in 71,880 *P. aeruginosa* isolates collected in Zhejiang Province during 2015–2017. We analyzed risk factors for CRPA, including the type of clinical specimen; the year, season, and region in which it was collected; patient information, including age, whether they were an outpatient or inpatient, and whether inpatients were in the intensive care unit or general ward; and the level of hospital submitting isolates. We found CRPA was more prevalent among isolates from patients >60 years of age and in inpatients, especially in intensive care units. In addition, specimen types and seasons in which they were collected were associated with higher rates of CRPA. Our findings can help hospitals reduce the spread of *P. aeruginosa* and optimize antimicrobial drug use.

The bacterium *Pseudomonas aeruginosa* is a particularly concerning nosocomial pathogen because of its intrinsic resistance to multiple antimicrobial agents (1,2). In 2016, surveillance of nosocomial infections in China showed *P. aeruginosa* was the fifth most frequently isolated pathogen, accounting for 8.7% of hospital-acquired infections, and the fourth most common (8.0%) in Zhejiang Province (3,4). *P. aeruginosa* often causes severe infections and results in high rates of illness and death among infected patients (1). A survey in the United States revealed that *P. aeruginosa* was the second-leading cause of nosocomial pneumonia (14%–16%), third main contributor of urinary tract infections (7%–11%), and seventh major cause of bloodstream infections (2%–6%) (5,6).

Carbapenem-resistant *Pseudomonas aeruginosa* (CRPA) is the second most common (CRPA) as the second most common pathogen, accounting for 8.7% of hospital-acquired infections and results in high rates of illness and death among infected patients (1,2). In 2016, surveillance of nosocomial infections in China showed *P. aeruginosa* was the fifth most frequently isolated pathogen, accounting for 8.7% of hospital-acquired infections, and the fourth most common (8.0%) in Zhejiang Province (3,4). *P. aeruginosa* often causes severe infections and results in high rates of illness and death among infected patients (1). A survey in the United States revealed that *P. aeruginosa* was the second-leading cause of nosocomial pneumonia (14%–16%), third main contributor of urinary tract infections (7%–11%), and seventh major cause of bloodstream infections (2%–6%) (5,6).

**Materials and Methods**

**Bacterial Species and Strain Identification**

We obtained data from the Annual Review of Hospital Infection Resistance Survey in Zhejiang Province, collected during 2015–2017 (4,10,11). Each of the ≥78 secondary or tertiary hospitals enrolled in the surveillance each year (Table 1) imported and shared data of routine antimicrobial susceptibility testing using WHONET 5.6 software (http://www.whonet.org). Enrolled hospitals are distributed in 11 cities of Zhejiang Province: Hangzhou, Huzhou, Jiaxing, Shaoxing, Ningbo, Taizhou, Jinhua, Quzhou, Lishui, Wenzhou, and Zhusuan. Each hospital laboratory cultured isolates on blood agar plates and identified antimicrobial-resistant strains by using matrix-assisted laser desorption/ionization time of flight (MALDI-TOF) mass spectrometry, the VITEK 2 Compact system (bioMérieux, https://www.biomerieux.com), or the Phoenix 100 system (Becton Dickinson, https://www.bd.com).
Antimicrobial Susceptibility Testing
We performed antimicrobial susceptibility testing on 71,880 *P. aeruginosa* isolates submitted during 2015–2017. We tested for susceptibility to gentamicin, amikacin, piperacillin/tazobactam, ceftazidime, cefepime, aztreonam, imipenem, meropenem, ciprofloxacin, levofloxacin, colistin, and polymyxin B. We selected these 12 antimicrobial agents because all are used routinely in clinical settings in the province and we could include 1–2 from each antimicrobial category, per guidelines from the Clinical and Laboratory Standards Institute (CLSI; 12). We imported susceptibility data into WHONET, deleted duplicated strains, used only the first isolate from each patient, and interpreted results according to CLSI guidelines (12).

Hospitals prepared isolates for susceptibility testing by using the Kirby-Bauer method and interpreted results manually according to CLSI guidelines (12) or by using broth microdilution for analysis by VITEK 2 or Phoenix 100 automated systems. To ensure comparable susceptibility tests between hospitals, each used the same reference strain, *P. aeruginosa* ATCC27853, and standardized procedures, following guidelines from the National Health Commission of China. We considered possible inaccuracies of susceptibility tests for colistin and polymyxin B in automated systems, especially by the Kirby-Bauer method, because of poor and slow diffusion in agar plates (13) and applied strict quality control practices by comparing results against our reference strain.

We conducted imipenem susceptibility testing of 71,880 isolates and meropenem susceptibility testing of 26,916 (37.44%). We used imipenem resistance as an indicator of carbapenem resistance and separately analyzed imipenem-resistant (IMP-R) and imipenem-susceptible (IMP-S) *P. aeruginosa* isolates against the other antimicrobial agents.

Classifications
We used year as an independent variant for occurrence analysis of IMP-R *P. aeruginosa*. Then, we calculated other variants by year. For our analysis, we categorized patient age into 6 groups: 0–2, 3–9, 10–19, 20–39, 40–59, and ≥60 years of age. Then we analyzed specific specimen types: blood, sputum, and urine. We analyzed outpatient and inpatient data and divided inpatients into 2 categories: those in intensive care units (ICUs) and those in standard patient wards (non-ICUs). To assess seasonality of CRPA, we analyzed quarters of the year, January–March, April–June, July–September, and October–December.

We grouped hospitals into 4 levels, 3A, 3B, 2A, and 2B, according to classifications designated by the National Health Commission of China, which classifies hospitals on the basis of the number of beds and scores on a comprehensive evaluation. Class 3 hospitals have >500 beds, and class 2 hospitals have 100–499 beds. The National Health Commission grades hospitals using scores from a comprehensive evaluation of the number of departments, staffing levels, management, technical level, work quality, and supporting facilities. Grade A hospitals received >900 points; grade B hospitals received 750–899 points.

<table>
<thead>
<tr>
<th>Year</th>
<th>No. hospitals*</th>
<th>Total</th>
<th>3A</th>
<th>3B</th>
<th>2A</th>
<th>2B</th>
<th>No. isolates</th>
<th>Isolation rate, %†</th>
<th>Gram-negative isolates, %</th>
<th>Imipenem-resistant isolates, %</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>78</td>
<td>41</td>
<td>23</td>
<td>13</td>
<td>1</td>
<td>1</td>
<td>22,464</td>
<td>8.1</td>
<td>11.9</td>
<td>35.4</td>
</tr>
<tr>
<td>2016</td>
<td>88</td>
<td>44</td>
<td>23</td>
<td>19</td>
<td>2</td>
<td>1</td>
<td>24,303</td>
<td>8.0</td>
<td>12.0</td>
<td>37.1</td>
</tr>
<tr>
<td>2017</td>
<td>84</td>
<td>41</td>
<td>24</td>
<td>18</td>
<td>1</td>
<td>1</td>
<td>25,113</td>
<td>7.8</td>
<td>12.0</td>
<td>39.1</td>
</tr>
</tbody>
</table>

*Hospital classification is performed by the National Health Commission of China on the basis of the number of beds and comprehensive evaluation scores. Comprehensive evaluation covers the number of departments, staffing levels, management, technical level, work quality, and supporting facilities. Class 3 hospitals have >500 beds, class 2 hospitals have 100–499 beds. Grade levels are given on the basis of scores from a comprehensive evaluation; grade A hospitals received >900 points, grade B hospitals received 750–899 points.

†*P. aeruginosa* was the fourth most commonly isolated pathogen in the region in each of the reported years.

Statistical Analysis
We analyzed antimicrobial resistance patterns of *P. aeruginosa* isolates exported from WHONET. We used unconditional logistic regression models to estimate odds ratios (ORs) and 95% CIs for univariable analysis of risk factors associated with IMP-R *P. aeruginosa*. We used either Pearson χ² test or Fisher exact test to compare the frequency distribution of categorical variables. For all models, we considered p<0.05 statistically significant and then performed 2-sided probability on those results by using SPSS version 23.0 (IBM, https://www.ibm.com). We classified both intermediate and resistant isolates as IMP-R.

Results
Surveillance Data
Approximately 80 hospitals from 11 administrative districts in Zhejiang Province participated in the annual survey of antimicrobial resistance. *P. aeruginosa* was the fourth most frequently isolated nosocomial pathogen identified, accounting for 8.0% of all bacteria obtained and 12.0%
of gram-negative bacteria collected in Zhejiang. During 2015–2017, hospitals submitted 71,880 P. aeruginosa isolates, >20,000 each year; this total is much higher than the numbers analyzed in studies from the United States and Europe (14,15). The large number of isolates provides a strong dataset for our statistical analysis.

We found that 26,789 isolates (37.26%) were resistant to imipenem. The rate of IMP-R P. aeruginosa was >35% in each year and increased gradually during the study period. The meropenem resistance rate of ≈29% was slightly lower than that of imipenem resistance in the 3 years analyzed. In addition, we found that 29.54% of isolates were resistant to piperacillin/tazobactam and 25.11% were resistant to cefepime (Table 1; Figure 2; Appendix Table 1).

**Correlation of IMP-R P. aeruginosa with Risk Factors**

We examined the correlation between IMP-R P. aeruginosa and risk factors by using OR (Table 2). We investigated quarter of the year, geographic region, patient age, inpatient or outpatient status, and ICU or non-ICU status as risk factors. Our analysis showed that the year isolates were collected had a statistically significant effect on the OR for IMP-R P. aeruginosa: OR 1.072 (95% CI 1.032–1.115) in 2016 compared with 2015 and OR 1.167 (95% CI 1.124–1.213) for 2017 compared with 2015. Seasonality was also a factor; P. aeruginosa isolates collected during January–March, April–June, and October–December were more likely to be IMP-R than those collected during July–September. We found that the capital of Zhejiang, Hangzhou, as well as Huzhou, Ningbo, Taizhou, Zhoushan, Wenzhou, and Quzhou, had higher IMP-R P. aeruginosa rates than other cities.

Isolates from inpatients had higher rates of imipenem resistance than those from outpatients, and isolates from patients in ICUs were more likely to be IMP-R than those from patients in non-ICU wards. When analyzed for patient age, the highest proportion of resistant isolates were collected from patients ≥60 years of age. We found no statistically significant difference in risk for IMP-R among isolates collected from patients 0–2 and 3–9 years of age. However, in other age groups, OR increased with age. In addition, we found that isolates from blood and sputum cultures were more likely to be IMP-R than isolates from urine (Table 2).

**Antimicrobial Resistance Patterns of P. aeruginosa**

Overall, P. aeruginosa showed high susceptibility to lipopeptides (99.07% to colistin and 98.5% to polymyxin B) and aminoglycosides (93.06% to amikacin and 85.88% to gentamicin) but high resistance to cephalosporins and fluquinolones (≈20%–30% susceptibility) and aztreonam (35.65% susceptibility) (Table 3). When we classified isolates into IMP-R and IMP-S groups, we...
found statistically significant differences (p<0.001) in resistance rates between resistant and susceptible isolates for all analyzed antimicrobial drugs except lipopeptides. IMP-R isolates exhibited statistically lower susceptibility than IMP-S isolates to all antimicrobial drugs except the lipopeptides, colistin and polymyxin B. We saw a 2–3-fold difference in MIC\(_{50}\) (MIC needed to inhibit 50% of cells) between IMP-S isolates and IMP-R isolates. In contrast, for each antimicrobial drug except lipopeptides, most IMP-R strains belonged to the MIC\(_{90}\) group (MIC needed to inhibit 90% of cells), whereas the IMP-S isolates were more prevalent in the MIC\(_{50}\) group. Similarly, the IMP-R group was highly resistant (25.36%) to meropenem, but IMP-S group was highly susceptible (96.97%) (Figure 2).

**Discussion**

Carbapenems are the most effective antimicrobial agents against serious infections caused by multidrug-resistant gram-negative bacilli. However, the resistance rate of *P. aeruginosa* to carbapenems has been consistently high (3,16–18). Clarifying resistance trends of CRPA and related risk factors can guide antimicrobial use and selection of effective treatment plans.

In our study, rates of IMP-R *P. aeruginosa* increased annually and were higher in Zhejiang Province than reported for other provinces in national surveillance through CHINET (3,17,18). For instance, 2017 CHINET surveillance reported national rates of 27.3% for IMP-R *P. aeruginosa* and 25.1% for meropenem-resistant *P. aeruginosa* (18), but in Zhejiang Province the rates were 39.3% for
rates is unknown, but our finding for IMP-R than in winter. However, we observed a reverse outcome and that the isolation rate usually was higher in summer P. aeruginosa. Other studies in China also have observed this discrepancy isolates from sputum and blood samples from patients in the ICU were more resistant than isolates from urine (20), in accordance with previous studies (21), indicating ICU admission is a risk factor for IMP-R P. aeruginosa. Patient age also factors into IMP-R occurrence in Zhejiang (21), which could be a result of the low immune function of patients 60 years of age. We saw an increase in the rate of IMP-R with increased patient age but did not see increased rates for patients 0–2, 3–9, or 10–19 years of age. However, the IMP-R rate was >10% in 2015 and increased to 20.9% in 2017 in the 10–19-year age group (data not shown), which could signal a potential increasing trend of IMP-R in subsequent years. Further studies with clinical information and data are needed to investigate this issue.

A previous study in India showed that P. aeruginosa isolates from sputum and blood samples from patients in the ICU were more resistant than isolates from urine (22). Other studies in China also have observed this discrepancy of P. aeruginosa from various specimen types (16,23). We found this observation was true, not only for isolates from patients in the ICU but for all patient isolates included in our study, indicating IMP-R P. aeruginosa might be a less likely agent in urinary tract infection.

Previous studies also stated that the occurrence of P. aeruginosa infection was associated with seasons (24,25) and that the isolation rate usually was higher in summer than in winter. However, we observed a reverse outcome for IMP-R P. aeruginosa: a higher prevalence in winter than in summer (data not shown). The seasonal effect on IMP-R P. aeruginosa rates is unknown, but our finding could potentially inform clinical recommendations.

By OR analysis, we found that IMP-R P. aeruginosa was more prevalent in 7 administrative districts: Hangzhou, Huzhou, and Quzhou in the northwest and Ningbo, Taizhou, Zhoushan, and Wenzhou in the southeast of the province. However, we found no statistical differences in IMP-R related to hospital classification in Zhejiang, which is worth noting because patients in class 2 hospitals usually have mild or moderate illnesses and patients in class 3 hospitals have more severe conditions or are immunocompromised and more susceptible to infection. We weighted class 2 hospitals differently than class 3 hospitals in our statistical analysis to account for the difference in patient types. However, because we saw no statistically significant difference in imipenem resistance rates related to the hospital level, we should put the same weight on both classes of hospitals in future analyses.

Although our study showed P. aeruginosa was highly resistant to carbapenems and multiple other drugs, it remains highly susceptible to colistin and has some sensitivity to cephalosporins and fluoroquinolones. IMP-R P. aeruginosa is most sensitive to colistin in vitro, and colistin is effective against multidrug-resistant P. aeruginosa nosocomial infections (26). Despite its strong neurotoxicity and otoxicity, colistin was reapproved for clinical applications in China in September 2017. However, efficacy of colistin monotherapy has been questioned in clinical trials (27), and colistin should be used in combination with other antimicrobial agents in clinical therapy.

Novel antimicrobial agents approved by the US Food and Drug Administration, such as ceftolozane/tazobactam or ceftazidime/avibactam, could be other treatment options. These drug combinations have good efficacy against CRPA isolates (28,29) but currently are not approved for use in China. Of note, ceftolozane/tazobactam might not be useful against carbapenemase-producing P. aeruginosa (30), and prerequisite identification of resistance mechanisms would

### Table 3. Antimicrobial resistance patterns of imipenem-resistant and imipenem-susceptible Pseudomonas aeruginosa isolates, Zhejiang Province, China, 2015–2017*

<table>
<thead>
<tr>
<th>Antimicrobial drugs</th>
<th>No. isolates (susceptibility rate, %)</th>
<th>Total susceptibility rate, %</th>
<th>MIC&lt;sub&gt;50&lt;/sub&gt; μg/mL</th>
<th>MIC&lt;sub&gt;90&lt;/sub&gt; μg/mL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>IMP-S</td>
<td>IMP-R</td>
<td>NA</td>
<td>S=R</td>
</tr>
<tr>
<td>Piperacillin/tazobactam</td>
<td>41,145 (85.70)</td>
<td>23,721 (44.01)</td>
<td>&lt;0.001</td>
<td>70.46</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>30,326 (88.28)</td>
<td>18,348 (47.93)</td>
<td>&lt;0.001</td>
<td>71.81</td>
</tr>
<tr>
<td></td>
<td>42,492 (89.01)</td>
<td>24,947 (50.83)</td>
<td>&lt;0.001</td>
<td>74.89</td>
</tr>
<tr>
<td></td>
<td>24,216 (68.07)</td>
<td>13,823 (30.32)</td>
<td>&lt;0.001</td>
<td>54.35</td>
</tr>
<tr>
<td></td>
<td>42,106 (97.38)</td>
<td>24,748 (85.69)</td>
<td>&lt;0.001</td>
<td>93.06</td>
</tr>
<tr>
<td></td>
<td>41,207 (92.80)</td>
<td>24,618 (74.29)</td>
<td>&lt;0.001</td>
<td>85.88</td>
</tr>
<tr>
<td>Gentamicin</td>
<td>42,442 (88.28)</td>
<td>25,063 (51.64)</td>
<td>&lt;0.001</td>
<td>74.67</td>
</tr>
<tr>
<td></td>
<td>41,982 (89.06)</td>
<td>24,593 (53.17)</td>
<td>&lt;0.001</td>
<td>75.80</td>
</tr>
<tr>
<td>Meropenem</td>
<td>17,166 (96.97)</td>
<td>9,750 (25.36)</td>
<td>&lt;0.001</td>
<td>71.03</td>
</tr>
<tr>
<td>Colistin</td>
<td>1,624 (99.08)</td>
<td>627 (99.04)</td>
<td>NA</td>
<td>99.07</td>
</tr>
<tr>
<td>Polymyxin B</td>
<td>5,012 (98.60)</td>
<td>3,746 (98.37)</td>
<td>0.452</td>
<td>98.50</td>
</tr>
</tbody>
</table>

*MIC<sub>50</sub> and MIC<sub>90</sub> were generated from the minimal inhibitory concentrations of antimicrobial drugs. Bold text indicates p values <0.05. IMP-R, imipenem-resistant; IMP-S, imipenem-susceptible; NA, not applicable; R, resistant; S, susceptible.
be needed to develop rational antimicrobial drug regimens. In addition, a novel plasmid-mediated colistin-resistant gene, mcr, has emerged in Enterobacteriaceae (31–33).

To reduce the chances of its dissemination to P. aeruginosa under antimicrobial drug selection pressure, clinicians should prioritize colistin only for severe cases of P. aeruginosa infection in clinical practice. Because of limitations of susceptibility testing methods (13), MICs for polymyxins might be less reliable in strains with MICs close to the breakpoint. Therefore, clinicians also should choose polymyxin therapies carefully.

Our study had some limitations. We excluded strains without a corresponding field from the classification analysis, such as patient age, patient type, or isolation time, which might have caused a distortion in the resistance rate. A disproportionate number of class 3 to class 2 hospitals participated in the surveillance, and class 2 hospitals inevitably were biased in the statistical antimicrobial resistance rate because they submit fewer isolates. In addition, we could not include therapeutic regimens, patient outcomes, or the molecular mechanisms of resistance for CRPA strains because they were not available, but these measures could inform clinical decisions and should be included in further surveillance studies.

In summary, we conducted a comprehensive analysis of risk factors associated with CRPA in Zhejiang Province, China. We investigated potential risk factors for IMP-R P. aeruginosa because Zhejiang Province has higher rates of carbapenem resistance compared with other provinces (34). Our research provides insights into CRPA in China and indicates an imperative for medical institutions in China to strengthen surveillance for this organism.

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References


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